

# Function Fields with Class Number Indivisible by a prime $\ell$

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$$\begin{array}{ccc} \mathcal{O}_K & \subset & K \\ | & & | \\ \mathbb{Z} & \subset & \mathbb{Q} \end{array}$$

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but 2, 3, and  $\sqrt{-6}$  are irreducible in  $\mathbb{Z}[\sqrt{-6}]$ .

Thus,  $\mathbb{Z}[\sqrt{-6}]$  is not a UFD.

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Note  $\langle -6 \rangle = \langle -2 \rangle \langle 3 \rangle = \langle \sqrt{-6} \rangle^2 = \langle 2, \sqrt{-6} \rangle^2 \langle 3, \sqrt{-6} \rangle^2$ .

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- Associativity:  $\checkmark$
- Identity: the equivalence class of principal ideals.
- Inverses: hard

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- Thus,  $\mathcal{O}_K$  is a UFD if and only if  $h_K = 1$ .
- Roughly, the class number measures the closeness of  $\mathcal{O}_K$  to being a UFD.

## Class Numbers of Quadratic Fields:

$d$	2	3	5	6	7	10	11	13	14	15	17	19	21
$\text{Cl}_{\mathbb{Q}(\sqrt{d})}$	1	1	1	1	1	2	1	1	1	2	1	1	1
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### Theorem

*The class number of  $\mathbb{Q}(\sqrt{d})$ ,  $d < 0$ , is 1 if and only if  $d = -1, -2, -3, -7, -11, -19, -43, -67$  or  $-163$ .*

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### Open Question

Are there infinitely many real quadratic number fields with class number one?

## Definition

A **function field** (in one variable) over a finite field  $\mathbb{F}$  is a field  $K$ , containing  $\mathbb{F}$  and at least one transcendental element  $T$  over  $\mathbb{F}$ , such that  $K/\mathbb{F}(T)$  is a finite algebraic extension.

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- Note that  $\mathbb{F}(T)$  is the field of fractions of polynomials in  $T$  over  $\mathbb{F}$ .
- We can define the ring of integers of  $K$  in the same way as for number fields.
- The ring of integers of  $\mathbb{F}(T)$  is  $\mathbb{F}[T]$ , the ring of polynomials in  $T$  over  $\mathbb{F}$ .

# Number Fields vs. Function Fields

Number Field	Function Field
$\mathcal{O}_K \subset K$ $\mid$ $\mathbb{Z} \subset \mathbb{Q}$	$\mathcal{O}_K \subset K$ $\mid$ $\mathbb{F}_q[T] \subset \mathbb{F}_q(T)$

	$\mathbb{Z}$	$\mathbb{F}_q[T]$
UFD	yes	yes
irreducibles	(infinitely many) primes	(infinitely many) irreducible polynomials
units	$\{\pm 1\}$ (finitely many)	$\mathbb{F}_q^\times$ (finitely many)
residue class	$ \mathbb{Z}/n\mathbb{Z}  =  n $	$ \mathbb{F}_q[T]/f\mathbb{F}_q[T]  = q^{\deg f}$

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- The proof of the analogue of Fermat's Last Theorem for function fields takes half a page!
- The *abc*-conjecture has been proven!
- Riemann Hypothesis analogue for function fields also proven!
- Every function field is isomorphic to a non-singular projective curve, so we can compute the **genus** of the function field.
- Still, questions on class numbers of function fields are VERY HARD.



## Theorem (Pacelli, Rosen)

*Let  $m$  be any positive integer,  $m > 1$  and  $3 \nmid m$ . There are a positive density of primes (and prime powers)  $q$  such that for a given rational function field  $\mathbb{F}_q(T)$ , there are infinitely many function fields of degree  $m$  over  $\mathbb{F}_q(T)$  with divisor class number indivisible by 3.*

## Theorem

*Let  $m$  be any positive integer,  $m > 1$  and  $5 \nmid m$ . There are a positive density of primes (and prime powers)  $q$  such that for a given rational function field  $\mathbb{F}_q(T)$ , there are infinitely many function fields of degree  $m$  over  $\mathbb{F}_q(T)$  with divisor class number indivisible by 5.*

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- if  $4 \mid m$ , then  $\gamma + 5\zeta \notin -4\mathbb{F}_q(\zeta)^4$

# Constructing the Fields

## The Recursion Relation

Define  $X_0 = T$  and

$$X_j = \frac{X_{j-1}^5 - 10X_{j-1}^3 + 10\omega X_{j-1}^2 + 5\omega X_{j-1} - 1}{5X_{j-1}(X_{j-1}^3 - 2\omega X_{j-1}^2 - 2\omega X_{j-1} + 1)},$$

for  $j \geq 1$  and  $\omega \in \mathbb{F}_q(T)$  such that  $\omega^2 + \omega - 1 = 0$ .

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## The Field of Degree $m$

Fix  $n \geq 1$ . For  $1 \leq i \leq n$ , define

$$N_i = \mathbb{F}_q(X_{n-i})$$

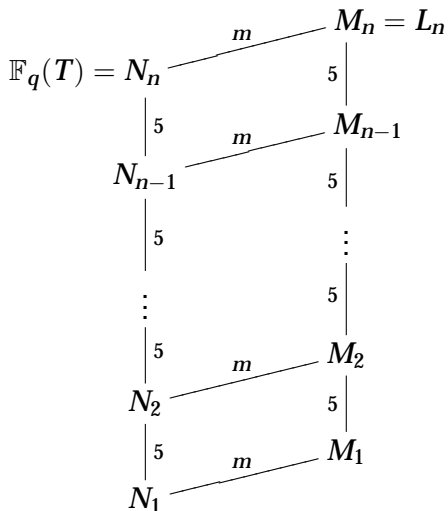
$$M_i = \mathbb{F}_q(X_{n-i}, \sqrt[m]{5X_n + \gamma}).$$

Let  $L_n = \mathbb{F}_q(T)(\sqrt[m]{5X_n + \gamma}) = M_n$ .



# Field Diagram

$$N_i = \mathbb{F}_q(X_{n-i}) \text{ and } M_i = \mathbb{F}_q(X_{n-i}, \sqrt[m]{5X_n + \gamma})$$



## Definition of $f_p$ 's

Let  $p$  be a divisor of  $m$  such that either  $p$  is prime or  $p = 4$ .  
Define

$$\begin{aligned}f_p(x) &= 5 \sum_{\substack{i=0 \\ i \equiv 0(5)}}^p \binom{p}{i} x^{p-i} - \gamma \sum_{\substack{i=0 \\ i \equiv 1(5)}}^p \binom{p}{i} x^{p-i} - (5 + \gamma\omega) \sum_{\substack{i=0 \\ i \equiv 2(5)}}^p \binom{p}{i} x^{p-i} \\ &\quad + \omega(\gamma - 5) \sum_{\substack{i=0 \\ i \equiv 3(5)}}^p \binom{p}{i} x^{p-i} + (\gamma + 5\omega) \sum_{\substack{i=0 \\ i \equiv 4(5)}}^p \binom{p}{i} x^{p-i} \\ f_4(x) &= x^4 - \frac{4}{5}\gamma x^3 - \left(\frac{6}{5}\gamma\omega + 6\right)x^2 + 4\omega\left(\frac{1}{5}\gamma - 1\right)x + \left(\omega + \frac{\gamma}{5}\right)\end{aligned}$$

*Fact:* Each  $f_p(x)$  is Eisenstein with respect to the chosen prime  $\mathfrak{p} \subset \mathbb{Q}(\omega)$  lying over  $p$ , and thus each  $f_p(x)$  is irreducible over  $\mathbb{Q}(\omega)$ .

# The Rest of the Proof

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## Theorem (Jordan)

*Let  $G$  be a group acting on a finite set  $X$  with cardinality  $n$ . If  $n \geq 2$  and  $G$  acts transitively on  $X$ , then there is an element  $g \in G$  which acts on  $X$  without a fixed point.*

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## Theorem (Frobenius)

*Let  $f$  be an irreducible polynomial over  $\mathbb{Q}(\omega)$  with Galois group  $G$ . The density of primes  $q$  for which  $f$  has no roots mod  $q$  exists, and is equal to  $1/|G|$  times the number of  $\sigma \in G$  with no fixed points.*

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So, in order to use the same techniques in general, we need a polynomial that generates cyclic extensions of degree  $\ell$ .

- Rikuna showed that the splitting field of the following polynomial has Galois group  $\mathbb{Z}/\ell\mathbb{Z}$  over  $k(T)$  for certain fields  $k$ :

$$\frac{\zeta^{-1}(X - \zeta)^\ell - \zeta(X - \zeta^{-1})^\ell}{\zeta^{-1} - \zeta} - T \frac{(X - \zeta)^\ell - (X - \zeta^{-1})^\ell}{\zeta^{-1} - \zeta}.$$

## Theorem

Let  $\ell$  be a prime and  $m > 1$  be any positive integer such that  $\ell \nmid m$ . Then there are a positive density of primes (and prime powers)  $q$  such that for a given rational function field  $\mathbb{F}_q(T)$ , there are infinitely many function fields of degree  $m$  over  $\mathbb{F}_q(T)$  with divisor class number indivisible by  $\ell$ .

# Conditions on $q$

Let  $\zeta$  be a root of  $g(X) = X^{\ell-1} + X^{\ell-2} + \dots + X + 1$  and let  $h(X)$  be the minimal polynomial of  $\omega = \zeta + \zeta^{-1}$ .

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- $\text{char } \mathbb{F}_q$  does not divide  $m$ ;

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For a particular  $q$ , we need the following conditions satisfied for the theorem to hold:

- $\zeta \notin \mathbb{F}_q$ , more precisely  $g(X)$  has no roots mod  $q$ ;
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- If  $4|m$ , then  $\gamma + \ell\zeta \notin -4\mathbb{F}_q(\zeta)^4$ .

# Constructing the Fields: Revisited

## The Recursion Relation

Define  $X_0 = T$  and

$$X_j = \frac{\zeta^{-1}(X_{j-1} - \zeta)^\ell - \zeta(X_{j-1} - \zeta^{-1})^\ell}{(X_{j-1} - \zeta)^\ell - (X_{j-1} - \zeta^{-1})^\ell},$$

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## The Field of Degree $m$

Fix  $n \geq 1$ . For  $1 \leq i \leq n$ , define

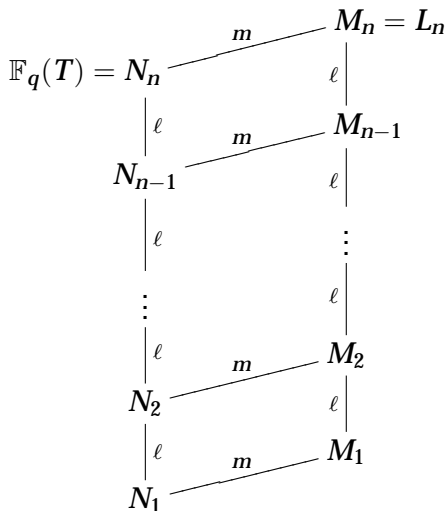
$$N_i = \mathbb{F}_q(X_{n-i})$$

$$M_i = \mathbb{F}_q(X_{n-i}, \sqrt[m]{\ell X_n + \gamma}).$$

Let  $L_n = \mathbb{F}_q(T)(\sqrt[m]{\ell X_n + \gamma}) = M_n$ .

# Field Diagram

$$N_i = \mathbb{F}_q(X_{n-i}) \text{ and } M_i = \mathbb{F}_q(X_{n-i}, \sqrt[m]{\ell X_n + \gamma})$$



# Proving there are infinitely many $q$

- Recall: We want  $l\zeta + \gamma \notin \mathbb{F}_q(\zeta)^p$  for all  $p$  dividing  $m$  and  $l\zeta + \gamma \notin -4\mathbb{F}_q(\zeta)^4$  if  $4 \mid m$ .

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$$f_p(X) = \sum_{j=0}^{\ell-1} \sum_{\substack{i=0 \\ i \equiv j}}^p \binom{p}{i} (a_j \gamma + a_{j-1} \ell) X^{p-i}$$

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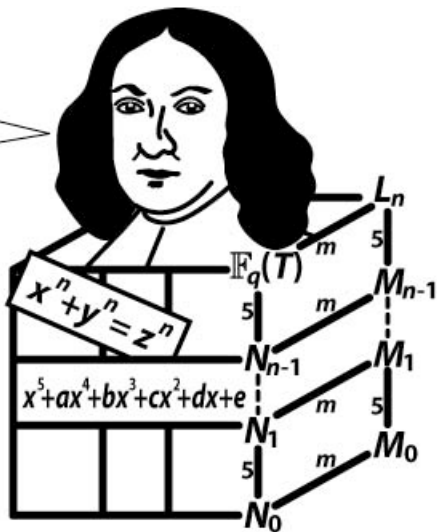
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- The remainder of the proof is identical to the  $\ell = 5$  case.



I have a proof,  
but this slide  
is too small  
to contain it.



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- Tools used in the function field case are unavailable in the number field case, such as the genus of a curve and the Riemann-Hurwitz equation.